

**Award**  
**FINRA Dispute Resolution**

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In the Matter of the Arbitration Between:

Timothy John Holt (Claimant) vs. M. J. Whitman LLC (Respondent)

Case Number: 09-01446

Hearing Site: New York, New York

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Nature of the Dispute: Associated Person vs. Member.

**REPRESENTATION OF PARTIES**

Claimant Timothy John Holt hereinafter referred to as "Claimant": John D. Singer, Esq. and Michael C. Deutsch, Esq., Singer Deutsch LLP, New York, NY.

Respondent M. J. Whitman LLC hereinafter referred to as "Respondent": Jonathan Sack, Esq., Sack & Sack, New York, NY.

**CASE INFORMATION**

Statement of Claim filed on or about: March 16, 2009.  
Claimant signed the Submission Agreement: March 10, 2009.

Statement of Answer filed by Respondent on or about: May 8, 2009.  
Respondent signed the Submission Agreement: May 7, 2009.

**CASE SUMMARY**

Claimant asserted the following causes of action: termination without cause and failure to pay compensation and bonus.

Unless specifically admitted in its Answer, Respondent denied the allegations made in the Statement of Claim and asserted various affirmative defenses.

**RELIEF REQUESTED**

In the Statement of Claim, Claimant requested compensatory damages for bonus in the amount of \$358,621.84 and for severance pay in the amount of \$125,000.00, punitive damages, interest, attorneys' fees, and costs.

**OTHER ISSUES CONSIDERED AND DECIDED**

The Panel acknowledges that they have each read the pleadings and other materials filed by the parties.

